



JC11 Rec'd PCT/PTO 5 MAR 2001

PCT/PTO
[Handwritten signature]

ATTORNEY'S DOCKET NO: C1043/7028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heeks et al.
Serial No: 09/719,955
Filed: December 18, 2000
For: BACKLIT DISPLAYS

Assistant Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith is/are the following document(s):

- [X] Assignment with Cover Sheet
- [X] Declaration
- [X] Notice to File Missing Requirements
- [X] Request for One-Month Extension of Time

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

Enclosed is a check in the amount of \$280.00 to cover the following fees:
(a) \$130.00 – fee for filing missing parts; (b) \$40.00 – assignment recordal fee;
and (c) one-month extension fee - \$110.00. If the fee is insufficient, the balance
may be charged to the account of the undersigned, Deposit Account No. 23/2825.
A duplicate of this sheet is enclosed.

HEREBY CERTIFY THAT THIS CORRESPONDENCE IS DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS
MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231

3-12-01
DATE

Therese A. Hendricks
THERESE HENDRICKS

Docket No. C1043/7028
Dated: March 12, 2001

X3/24/2001

03/19/2001 UEDUUIJE 00000045 09719955

01 FC:154

130.00 OP

Respectfully submitted,

By: Therese A. Hendricks

Therese A. Hendricks
Registration No. 30,389
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617)720-3500



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/719955	HEEKS	S
THERESE A HENDRICKS WOLF GREENFIELD & SACKS 600 ATLANTIC AVENUE BOSTON, MA 02210		C1043/7028
INTERNATIONAL APPLICATION NO.		
PCT/GB99/01918		
I.A. FILING DATE	PRIORITY DATE	
16 JUN 96	19 JUN 98	
DATE MAILED:		

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
 - ☐ a non-English language.
 - ☒ English.
- ☐ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☒ Preliminary amendment(s) filed 18 DEC 00 and
- ☐ Information Disclosure Statement(s) filed and
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed
- ☐ Verified Statement Claiming Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report and copies of the references cited therein.
- ☐ Other:

Subject to PTA? ☒ NO
per docket/

File Folder	<input checked="" type="checkbox"/>
ECB	<input checked="" type="checkbox"/>
Docket Entry	<input checked="" type="checkbox"/>
Docket Copy	<input checked="" type="checkbox"/>
Order Copies	<input checked="" type="checkbox"/>
Annulment	<input checked="" type="checkbox"/>
Confirmation	<input checked="" type="checkbox"/>

DOCKETED
FEB 01 2001

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

- Enclosed:
- ☐ PCT/DO/EO/917
 - ☐ PTO-875
 - ☐ Notice of Defective Translation
- FORM PCT/DO/EO/905 (December 1997)

Charita A. Burr, Patent Agent
Telephone: 703-365-3734